

Audit and Governance Committee

Agenda

Date: Thursday 27th September 2018
Time: 2.00 pm
Venue: Committee Suite 1,2 & 3, Westfields, Middlewich Road,
Sandbach CW11 1HZ

The agenda is divided into 2 parts. Part 1 is taken in the presence of the public and press. Part 2 items will be considered in the absence of the public and press for the reasons indicated on the agenda and at the top of each report.

It should be noted that Part 1 items of Cheshire East Council decision making meetings are audio recorded and the recordings are uploaded to the Council's website.

PART 1 – MATTERS TO BE CONSIDERED WITH THE PUBLIC AND PRESS PRESENT

1. **Apologies for Absence**
2. **Declarations of Interest**

To provide an opportunity for Members and Officers to declare any disclosable pecuniary and non-pecuniary interests in any item on the agenda.

3. **Public Speaking Time/Open Session**

In accordance with paragraph 2.32 of the Committee Procedural Rules and Appendix 7 to the Rules a total period of 10 minutes is allocated for members of the public to address the Committee on any matter relevant to the work of the body in question.

Individual members of the public may speak for up to 5 minutes but the Chairman or person presiding will decide how the period of time allocated for public speaking will be apportioned where there are a number of speakers. Members of the public are not required to give notice to use this facility. However, as a matter of courtesy, a period of 24 hours' notice is encouraged

For requests for further information

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Members of the public wishing to ask a question at the meeting should provide at least three clear working days' notice in writing and should include the question with that notice. This will enable an informed answer to be given.

4. **Minutes of Previous Meeting** (Pages 5 - 12)

To approve the minutes of the meeting held on 31 July 2018 as a correct record.

5. **Grant Thornton - Annual Audit Letter 2017/18** (Pages 13 - 30)

To consider the report of Grant Thornton, the external auditors, on their findings from the 2017/18 audit.

6. **Referrals to the Local Government and Social Care Ombudsman 2017-18**
(Pages 31 - 38)

To consider a summary of the referrals the Local Government and Social Care Ombudsman received from Cheshire East customers during 2017/18.

7. **Member Code of Conduct 2018: Standards Report** (Pages 39 - 44)

To consider a report on the number of complaints received under the code of conduct for Members which have been, or are to be considered by the Acting Director of Legal Services (in their capacity as the authority's Monitoring Officer) and the Independent Person for the period 1 March 2018 to 31st August 2018.

8. **Risk Management Update Report** (Pages 45 - 66)

To consider a summary of the most significant threats and opportunities facing the Council which may prevent, or assist with, the achievement of the Council's Corporate Plan 2016-20.

9. **Internal Audit – 2018/19 Plan Progress Update** (Pages 67 - 96)

To consider a report on progress against the Internal Audit Plan 2018/19, revisions to the plan, a summarise work undertaken to the end of August 2018 and to present the Quality Assurance and Improvement Programme for approval by the Committee.

10. **Treasury Management Annual Report 2017/18** (Pages 97 - 118)

To consider the annual report on the performance of the Council's treasury management operation.

11. **Outcome of the External Assessment of Internal Audit and Compliance with the Public Sector Internal Audit Standards** (Pages 119 - 146)

To consider a report on the outcome of the external assessment of the Internal Audit function against the Public Sector Internal Audit Standards, carried out under the peer review process reported to the Committee in September 2017.

12. **Review of Audit and Governance Committee's Terms of Reference** (Pages 147 - 184)

To consider a report which updates the Committee following the publication by the Chartered Institute of Public Finance and Accountancy (CIPFA) of their "Practical Guidance for Audit Committees" and identify areas where the Committee may wish to consider changes to ensure that it operates effectively and fulfils its purpose.

13. **Committee Work Plan 2018/19** (Pages 185 - 202)

To consider the Committee's Work Plan for the remaining meetings in 2018/19.

14. **Contract Procedure Rule Waivers and Non-Adherences** (Pages 203 - 208)

To receive an update on the number and reasons for waivers and non-adherences which have been approved between 1 July and 31 August 2018.

15. **Exclusion of the Press and Public**

The reports relating to the remaining items on the agenda have been withheld from public circulation and deposit pursuant to Section 100(B)(2) of the Local Government Act 1972 on the grounds that the matters may be determined with the press and public excluded.

The Committee may decide that the press and public be excluded from the meeting during consideration of the following items pursuant to Section 100(A)4 of the Local Government Act 1972 on the grounds that they involve the likely disclosure of exempt information as defined in Paragraphs 1 and 2 of Part 1 of Schedule 12A to the Local Government Act 1972 and public interest would not be served in publishing the information.

PART 2 - MATTERS TO BE CONSIDERED WITHOUT THE PUBLIC AND PRESS PRESENT

16. **Contract Procedure Rule Waivers and Non-Adherences**

To note the approved WARNS between 1 July and 31 August 2018.